



Measurement Report: New particle formation characteristics at an

2 urban and a mountain station in Northern China

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14 Abstract

- 15 Atmospheric new particle formation (NPF) events have attracted increasing attention for their
- 16 contribution to the global aerosol number budget, and therefore their effects on climate, air quality,
- 17 and human health. NPF events are regarded as a regional phenomenon, occurring over a large area.
- 18 However, the spatial variation of NPF intensity has not been investigated in detail by incorporating
- 19 both urban and regional measurements. Urban environments have more heterogeneous and freshly
- 20 emitted NPF precursors as compared to environments with less anthropogenic activity. Here, we
- 21 provide a comparison of NPF event characteristics NPF event frequency, particle formation rate,
- 22 and growth rate by comparing an urban Beijing site and a background mountain site separated by
- 23 ~80 km from June 14 to July 14, 2019 as well as give insights into the connection between both
- 24 locations. During the measurement period, 12 and 13 NPF events were observed at the urban and
- 25 background mountain sites, respectively, with 9 NPF events observed on the same day at both sites.
- 26 Although the median condensation sink during the first two hours of the common NPF events was





around 0.01 s⁻¹ at both sites, there were notable differences in particle formation rates between the 27 two locations (median of 5.42 cm⁻³s⁻¹ at the urban site and 1.13 cm⁻³s⁻¹ at the mountain site during the 28 first two hours of common NPF events). Yet, the particle growth rates in the 7-15 nm range for 29 common NPF events were comparable (median of 7.6 nm.h⁻¹ at the urban site and 6.5 nm.h⁻¹ at the 30 31 mountain site as median values). To understand whether the observed events were connected, we 32 compared air mass trajectories as well as meteorological conditions at both stations. Favorable 33 conditions for the occurrence of regional NPF events were largely affected by air mass transport. 34 Overall, our results demonstrate a clear inhomogeneity of regional NPF within a distance of ~100 35 km, which should be considered in regional-scale aerosol models when estimating the budget of 36 aerosol load and cloud condensation nuclei. 37

38 Keywords: atmospheric aerosols, growth rates, regional new particle formation, haze, sulfuric acid





1 Introduction

41 Atmospheric new particle formation (NPF) events resulting from the formation of clusters and stable 42 aerosol particles from gas-phase precursors have been recognized as a major contributor to the global 43 aerosol budget (Kulmala et al., 2004; Zhang et al., 2012). Once the newly formed particles grow to 44 certain sizes, they can act as cloud condensation nuclei (CCN), affecting the regional and global 45 climate (Pierce and Adams., 2009; Yu and Luo., 2009). NPF events were also found to contribute to haze formation and thus can influence air quality, especially in megacities where the precursor 46 47 concentrations and associated particle formation rates are rather high (Guo et al., 2014;Guo et al., 48 2020; Kulmala et al., 2021, Du & Dada et al. 2021). 49 The occurrence of NPF events is a result of the competition between factors promoting and inhibiting 50 cluster formation and their growth. For instance, sufficient sulfuric acid and other low-volatility 51 vapors have been confirmed to be important in particle nucleation and growth in field observations 52 as well as in chamber experiments (Ehn et al., 2014; Wang et al., 2017; Lehtipalo et al., 2018; Yao et 53 al., 2018; Deng et al., 2020a). On the other hand, background particles can inhibit new particle 54 formation by acting as condensation sink for vapor precursors and coagulation sink for newly formed 55 particles. Indeed, Cai et al. (2017) found that the Fuchs Surface Area (A_{Fuchs}) (which is linearly 56 proportional to condensation sink) determined the occurrence of NPF events in urban Beijing. In the 57 atmosphere, ambient conditions, such as air mass trajectories and meteorological conditions, can 58 affect the occurrence of NPF events by modifying the source-sink competition. Wu et al. (2007) 59 summarized favorable conditions for NPF events in Beijing based on a one-year observation as 60 sufficient solar radiation (sunny days), northerly wind, low relative humidity, and less pre-loading 61 large particles. Similarly, in other environments, plenty of radiation, intermediate temperatures and 62 low condensation sink favor the occurrence of NPF events (Qi et al., 2015;Dada et al., 2017;Kerminen 63 et al., 2018). 64 Regional NPF events can happen with a spatial extent up to several hundred kilometers and vertical 65 extent from boundary layer to free troposphere under favorable conditions (Hussein et al., 2009;Shen 66 et al., 2011;Dai et al., 2017). Earlier studies have shown that regional NPF events by simultaneous observations at two or more sites had similar features in their occurrence and characteristics. For 67





68 instance, Komppula et al. (2006) investigated the occurrence of NPF events at two forest stations in 69 northern Finland during 2000-2003. Their results suggested that same air mass source regions, 70 favorable weather conditions and clean air at both stations were necessary for NPF events occurring 71 simultaneously at the two stations. Vana et al. (2016) compared observations at three sites over 1000 72 km distance at northern Finland, southern Finland and Estonia in 2013-2014. They found that some 73 events have the same origin. On the other hand, Jun et al. (2014) observed that NPF events occurred 74 less frequently at downtown Toronto than at a nearby background site, and attributed this observation 75 to the high condensation and coagulation sink due to primary particle emission from traffic at urban 76 areas. Moreover, Carnerero et al. (2018) observed horizontal distribution and regional impact of the 77 NPF events with data from three urban, urban background, and suburban stations in the Madrid metropolitan area, Spain in July 2016. Their results indicated that ultra-fine particles were detected 78 79 quasi-homogenously in an area spanning at least 17 km horizontally and the NPF events extended 80 over the full vertical extension of the mixed layer. Finally, Salma et al. (2016) found that regional 81 NPF events were modified and transformed by urban NPF events during their observation in 2008-82 2009 and 2012-2013 in Budapest and at a regional background site 71 km away from it. 83 In comparison to the aforementioned studies in Europe, a similar study was also carried out to 84 understand the regional NPF events in North China Plain. Wang et al. (2013) characterized the NPF 85 events observed at an urban Beijing site and a regional background site about 120 km northeast to the 86 urban site from March to November in 2008. They observed 96 and 87 NPF events at urban Beijing 87 and background site, respectively, among which 52 NPF events were observed simultaneously at both 88 sites. They found that NPF events were slightly weaker in the background site compared to those 89 observed at the urban site. However, the factors that influence the occurrence of NPF events at the 90 two stations simultaneously were left undetermined. In addition to largely populated urban areas, 91 there is a large mountain area within the Beijing-Tianjin-Hebei (BTH) region, where to our best 92 knowledge, the characteristics of NPF events are understudied. In this study, we conducted 93 simultaneous measurements of NPF event characteristics at an urban site in Beijing and a background 94 mountain site about 80 km west to urban Beijing from June 14 to July 14 2019. 95 Based on our observations, we aim to (i) compare the characteristics of the NPF events between the



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two sites, including the frequency, particle formation rate, and particle growth rate; (ii) figure out the connections and differences between NPF events at these two sites; (iii) identify the favoring conditions for regional NPF events. Due to the profound participation of NPF events in the global aerosol number loading and air quality degradation, identifying the conditions that promote or inhibit the occurrence of regional scale NPF events could help minimize its adverse effects.

2 Experiment and methodology

2.1 Sites' description

103 Urban site: The Beijing University of Chemical Technology - BUCT (39.94° N, 116.31° E) station is 104 located on the fifth floor of a university building inside the west campus of BUCT. The station is 105 surrounded by several main roads with heavy traffic and residential areas and thus, can be considered 106 a typical urban station. More details of this station can be found in Zhou et al. (2020). Observations 107 at the urban site are continuous since January 17, 2018 and were only interrupted for necessary 108 instrument maintenance. The location is referred to as 'UB' from here after and is shown on the map 109 in Fig. 1. 110 Mountain site: The Beijing Forest Ecosystem Research Station (39.96° N, 115.43° E) is located in 111 the west of Beijing, referred to as 'MT' from here after, which is part of the Chinese Ecological 112 Research Network (CERN). It is located in the mountain areas west of Beijing, about 80 km from the 113 urban site; see also in Fig. 1. The altitude of the station is 1170 m above sea level and it is surrounded 114 by forests. The closest anthropogenic activities are associated with small villages located in the valley 115 nearby the MT station. Observations at MT station are from June 14 to July 14, 2019. For comparison 116 reasons, we only used the data collected simultaneously at both stations.

2.2 Instrumentation

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Particle number size distribution data in the size range of 6-840 nm were collected using a differential mobility particle sizer (DMPS) at the UB station. The instrument consists of one DMA (differential mobility analyzer) in different flow rates and one CPC (condensation particle counter, TSI Model 3772). Details of this instrument can be found in Kangasluoma et al. (2020). At MT station, a scanning





122 mobility particle sizer (SMPS) and a fast mobility particle sizer (FMPS, TSI Model 3091) were used 123 to measure particle number size distribution from June 14 to June 28 and from June 29 to July 14, 124 respectively. The size ranges of the SMPS and FMPS are 7-1218 nm and 6.04-856 nm, respectively. 125 The total number concentration from 4-3000 nm, measured by Condensation Particle Counter (CPC; 126 TSI Model 3775), was used to calibrate the particle number size distributions from FMPS according 127 to the method suggested by Zimmerman et al. (2015). More details about the instrument are found in 128 the previous studies (Wang et al., 2019; Gao et al., 2020). The full campaign particle number size 129 distributions at both sites are shown in Fig. 2. 130 Sulfur dioxide (SO₂) concentration data were collected by Thermo Environmental Instrument model 131 43i-TLE with a time resolution of 5-min at the UB station. There were no direct measurement of SO₂ 132 concentrations at the MT station, but the SO₂ measurement at the closest national monitoring station 133 (Longquan station, around 60 km from MT station and 20 km from UB station, see Fig. 1) was used 134 to indicate the strong decline of SO₂ concentration from urban Beijing towards the west areas. Time 135 series of SO₂ concentration at UB station and Longquan station during the whole observation is shown 136 in Fig. 3. Due to the lower emission, the SO₂ concentration at the MT station is expected to be even 137 lower than that in Longquan station. 138 The sulfuric acid concentration was measured at UB station by a chemical ionization-atmospheric 139 interface-time of flight mass spectrometers (CI-APi-ToF, Aerodyne Research Inc.) equipped with a 140 nitrate chemical ionization at UB station (Lu et al., 2019). There were no sulfuric acid data available 141 at MT station and since no SO₂ concentrations were available, a sulfuric acid proxy concentration 142 could not be derived. 143 The meteorological conditions such as relative humidity (RH, %), temperature (°C) and solar 144 radiation (UVA and UVB, W/m²) were measured using a Vaisala Weather station data acquisition 145 system (AWS310, PWD22, CL51), Metcon at UB station and using Vaisala MAWS301 automatic 146 weather station at MT station. The measurements at the MT station were carried out at the height of 147 1.5 m.



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2.3 Air mass back trajectories

149 Air mass back trajectories were calculated using a Lagrangian particle dispersion model FLEXPART 150 (FLEXible PARTicle dispersion model) version 9.02 (Stohl et al., 2005). As the meteorological input, 151 we used ECMWF (European Centre for Medium-Range Weather Forecast) operational forecast data 152 with 0.15° horizontal and 1-hour temporal resolution. Particle retroplume simulations were performed 153 hourly for both sites during the whole study period. For each retroplume simulation, we used 50 000 154 model particles distributed evenly between 0-100 m above the measurement site. The released model 155 particles were traced backwards in time for 72 h, unless they exceeded the model grid (20–60°N, 95– 156 135°E, resolution: 0.05°). 157 Based on the arrival direction of the 72-h backward trajectories, the prevailing air mass transport 158 conditions at each site were classified into 5 groups: North group, West group, East group, South 159 group and Local group. Air masses arriving from north, north-west and north-east including Mongolia, 160 Inner-Mongolia and north-east China were classified into the North group. Air masses from Shanxi 161 province, Inner-Mongolia and further west were classified into the West group. Air masses from the 162 ocean east of Beijing were classified into the East group and air masses from southern areas were 163 classified into the South group. Stagnant air masses that had only travelled short distances and/or 164 were circulating around the measurement site were classified into the Local group. Examples of air

the east and south travel over highly populated areas, thus accumulating air pollutants. However, the

mass trajectories belonging to these five groups are shown in Fig. 4. In general, air masses from the

north and west supply clean air from the mountainous areas to both stations, whereas air masses from

impact of local air masses on the pollution levels at the two sites can be different; at UB station, local

air masses are polluted by the urban emissions, while at MT station stagnant air could cause a clean

situation due to low local emissions. More details on the relationship between air mass transport

171 conditions and the extent of pollution is discussed in later sections.

2.4 NPF event classification

173 Particle number size distribution data from both stations were used for classifying individual days





- 174 into new particle formation (NPF) event days and non-event days. This classification followed
- 175 procedures presented by Dal Maso et al. (2005) and later adapted for urban locations (Chu et al.,
- 176 2021) in which a day is classified as a NPF event day if (a) a new mode in the size range smaller than
- 177 25 nm appeared and (b) the new mode kept growing over several hours. On the other hand, non-event
- 178 days are the days which do not fit any of the abovementioned criteria and undefined days are the days
- which fit either one of the abovementioned criteria or the days which we cannot distinguish whether
- the new mode was from NPF event or traffic.

181 2.5 Characteristics of NPF events

- 182 2.5.1 Condensation sink
- 183 The condensation sink (CS) was calculated from particle size distribution data using the method
- described by Kulmala et al. (2012):

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$$CS = 2\pi D \sum_{do'} \beta_{m,dp'} dp' N_{dp'}$$
 (1)

- where D is the diffusion coefficient of the condensing vapor, sulfuric acid in our case, and $\beta_{m,do'}$
- represents the transition-regime correction, N_{dp} is the particle number concentration with diameter
- 188 dp'. As shown in Fig. 5, particles in size range of 20-800 nm dominated the total CS at UB station
- 189 and particles in the size range of 50-800 nm dominated the total CS at MT station. Although the size
- 190 ranges of DMPS, FMPS and SMPS slightly differ, all of them cover the main size range which
- 191 constituted the CS and thus the calculation of CS should not be significantly influenced by differences
- in the instrument size ranges.
- 193 2.5.2 Particle growth rates
- 194 Particle growth rates were calculated for the size range of 7-15 nm (GR7-15 nm) using the 50%
- 195 appearance time method introduced by Lehtipalo et al. (2014) and Dada et al. (2020b) according to

$$GR = \frac{dp_2 - dp_1}{t_2 - t_1} \tag{2}$$

197 where t_2 and t_1 are the appearance times of particles with sizes of dp_2 and dp_1 , respectively. The





- 198 appearance time is defined as the time at which the concentration of particles at size d_p reaches 50%
- 199 of its maximum.
- 200 2.5.3 Particle formation rates
- The formation rates of particles of diameters 7 nm (J_7) were calculated from particle number size
- 202 distribution data using the method presented by Kulmala et al. (2012) and modified for urban
- 203 environments by Cai and Jiang (2017):

$$J_{k} = \frac{dN_{[d_{k},d_{u})}}{dt} + \sum_{d_{x}=d_{k}}^{d_{x}=1} \sum_{d_{i}=d_{\min}}^{+\infty} \beta_{(i,g)} N_{[d_{i},d_{i+1})} - \frac{1}{2} \sum_{d_{x}=d_{\min}}^{d_{x}=1} d_{x}^{\frac{3}{2}-1} + \frac{d_{x}^{3}+1}{d_{x}^{3}-1} \leq d_{u}^{\frac{3}{2}}}{d_{x}^{2}-1} \beta_{(i,g)} N_{[d_{i},d_{i+1})} N_{[d_{x},d_{x+1})} + \frac{dN}{dd_{i}} \bigg|_{d_{i}=d_{u}} \bullet GR_{u}$$

$$(3)$$

- Here, J_k is the particle formation rate at size d_k , cm³·s⁻¹, (7 nm in this study); d_u is the upper size limit
- 206 of the targeted aerosol population (10 nm in this study); d_{min} is the smallest particle size detected by
- 207 particle size spectrometers (to make the results comparable, the d_{min} was set to 7 nm); $N_{Idk,du}$ is the
- 208 number concentration of particles from size d_k to d_u ; d_i represents the lower limit of the i^{th} size bin;
- 209 $\beta_{(i,g)}$ is the coagulation coefficient for the collision of two particles with the size of d_i and d_g ; and GR_u
- refers to the particle growth rate at size d_u , nm·h⁻¹ (Deng et al., 2020a).
- 211 3 Results and discussion
- 212 3.1 NPF event characteristics at both stations
- 213 3.1.1 NPF event frequency at both stations
- 214 In Fig. 2, we show the particle number size distribution and CS during our observations at both
- stations. There were a total of 12 and 13 NPF events observed at the UB station and the MT station,
- corresponding to an NPF event frequency of 48% (12 of 25) and 52% (13 of 25), respectively. Only
- 217 days when there was good data for both stations were taken into consideration in our whole analysis.
- 218 The NPF event frequencies were higher than earlier long-term observations in urban Beijing and at a
- 219 background site in Beijing, as well as another observation in 2018 at UB station in which NPF events
- 220 occurred on less than 20% of the days in summer (Wang et al., 2013; Deng et al., 2020a). One possible





221 reason could be that earlier observations covered the whole summer while our observation only lasted 222 for 31 days (where 25 days were validated data), and some parameters affecting NPF event occurrence 223 could vary from month to month as well as from year to year. In addition, 9 NPF events were observed 224 at both stations on the same day (referred to as common NPF events). Detailed information on the 225 classified NPF event and non-event days, including the particle formation rates, growth rates, as well 226 as their associated air mass origins are provided in Table 1. 227 3.1.2 NPF event start time at both stations 228 During our observation, there was no advection of air masses between the two sites on common NPF 229 event days, indicating that the NPF events occurred at each site independently. As shown in Table 1, 230 all common NPF events started after sunrise and prior to noon except the two non-local NPF events 231 at MT station. However, NPF event start time was different between the two sites. Earlier researches 232 in Nanjing, China and Nordic stations showed the similar results that NPF events can be observed 233 simultaneously at two or more sites, but the start time can be different, local meteorology, source 234 strength and background aerosols could drive temporal behavior of NPF events at each sites (Hussein 235 et al., 2009; Dai et al., 2017). 236 3.1.3 Particle formation and growth rates at both stations 237 The particle formation rates (J₇) at the two stations during the measurements are shown in Fig.6a. The J₇ at the UB station (3.0-10.0 cm⁻³ s⁻¹ with a median of 5.4 cm⁻³ s⁻¹) was significantly higher than 238 that in the MT station (0.75-3.0 cm⁻³ s⁻¹ with a median of 0.72 cm⁻³ s⁻¹) for common NPF events. These 239 240 values are comparable to earlier observations in urban Beijing and another regional background 241 station in North China Plain (NCP) (Wang et al., 2013). Earlier observations in NCP and Yangtze 242 River Plain also observed higher formation rates at urban sites than corresponding background sites 243 by roughly a factor of 2 due to lower anthropogenic emissions at background sites (Wang et al., 244 2013; Dai et al., 2017; Shen et al., 2018). The much lower J₇ observed at MT station is very likely 245 associated with the low H₂SO₄ concentration at this station, which we will discuss in section 3.2.4. 246 However, other reasons, such as the low concentration of H₂SO₄ stabilizers, e.g., amines, cannot be





247 ruled out either. Also, the J₇ at UB station could be affected by particle emissions due to the proximity 248 of the location to the highway (Kontkanen et al., 2020). 249 In contrast to the significant difference of J_7 , the median particle growth rates in size range of 7-15 250 nm (GR_{7-15nm}) were similar between the two stations, with 7.6 nm/h and 6.5 nm/h at the UB station 251 and the MT station, respectively (Fig. 6b). The GR at UB station was comparable with other long-252 term observation at UB station (1.1-8.0 nm/h) in 2018, and other urban areas in China (Herrmann et 253 al., 2014; Chu et al., 2019; Deng et al., 2020a). Consistent with earlier observations showing that 254 H₂SO₄ could only contribute to a small fraction of the particle growth at this size range (Paasonen et 255 al., 2018;Qi et al., 2018;Guo et al., 2020), the growth rates at both stations cannot be explained by 256 the H₂SO₄ concentration. This implies that other condensable species, very likely low-volatility 257 organic vapors, play an important role in particle growth at both stations. At the UB station, 258 anthropogenic VOCs are dominant precursors of these low-volatility organic vapors (Guo et al., 2020; 259 Deng et al., 2020b), while VOCs at MT station, with rare anthropogenic sources, are likely dominated 260 by biogenic emissions. 261 3.1.4 Ending diameters of newly-formed grown particles 262 Earlier observations found that diameters of newly-formed particles should be larger than 70 nm to 263 contribute to cloud condensation nuclei significantly (Man et al., 2015; Ma et al., 2021) and will be 264 considered as haze particles when their size larger than 100 nm (Kulmala et al. 2021). However as 265 shown in Table 1, the maximum mode diameters of the newly grown particles (D_{pmax}) varies from 21 266 to 105 nm at the UB station and 19 to 102 nm at MT station and D_{pmax} of common NPF events were 267 higher at UB than MT station. At UB station there were 4 NPF events with D_{pmax} larger than 70 nm, 268 while only one such event was observed at MT station. The higher ending diameters of the newly-269 formed grown particles indicates more abundant vapors favoring the growth of larger particles at UB 270 than MT station. The result also suggests that NPF events at urban areas may have larger impacts on 271 haze and climate than those at clean areas.



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272 3.2 Factors influencing the occurrence of NPF events

273 In order to understand the conditions favoring NPF events at both stations, we analyzed various

ambient parameters including air mass trajectories, meteorological variables, condensation sink as

well as sulfuric acid concentration.

276 3.2.1 Favorable air mass origin for NPF events at individual locations

277 Due to the close proximity of the two measurement sites, the air mass arrival directions and source

278 regions were (mostly) similar at both sites throughout the measurement period. Frequencies of air

masses arriving at each station from every group are shown in Fig. 7. The most frequent air masses

arriving at both sites belonged to the North and East groups. At the UB site, out of 25 days there were

8 days belonging to each of the North and East groups and 1, 1 and 7 days in air masses belonging to

West, South and Local groups, respectively. For the MT station, there were out of 25 days, 9 and 6

belonged to the North and East groups, respectively, and 3 and 7 days belonged to West, Local groups,

284 respectively.

285 NPF event frequency with respect to air masses is shown in Fig. 7. It is noticeable that air mass origin

286 influenced the occurrence of NPF events at both stations—as the highest frequency of NPF events

287 occurred when the air masses were coming from the north. The second highest frequency of NPF

events was observed when the air mass belonged to the Local group (Fig. 7a&b). At UB station, 11

289 (out of 12) NPF events occurred in these two air mass classes (North and South groups), with another

290 NPF event in the West group. For the MT station, 11 (out of 13) NPF events occurred in these two

air mass classes (North and South groups), with two other NPF events in the West and East groups.

292 Considering the comparable NPF frequency associated with the North and South group, the difference

in the CS remains one prominent difference between them. As shown in Fig. 7c&d, the CS of the air

masses classified as the North group (with median values of 0.01 s⁻¹ at both stations) is substantially

295 lower than that in other air mass classes, which might be a main reason for the high NPF event

296 frequency associated with this air mass class. This result is consistent with earlier researches that air

297 masses from north favored NPF occurrence by low CS in North China Plain (Wang et al., 2013;Shen

et al., 2018). On the other hand, despite the highest CS of the air masses in Local group (with median





299 values of 0.025 and 0.028 s⁻¹ at the UB station and MT station, respectively, on NPF event days), 300 NPF event could still occur in this air mass class. This is likely due to the high concentrations of 301 cluster forming vapors that act as particle sources outcompeting the high CS in these cases. 302 As shown in Table 1, NPF events occurring simultaneously at both sites only happened when air 303 masses arrived at both sites from the same directions, suggesting that most of the observed NPF events 304 took place over the whole studied area, extending for several hundreds of kilometers (Dai et al., 2017, 305 Du et al. 2021). The occurrences of common NPF events also closely connected with air mass origins 306 that 7 (out of 9) common NPF events occurred under air masses in the North group, with the other 307 two NPF events in the South group. 308 3.2.2 The role of the condensation sink in NPF event occurrence 309 Figure 8 shows the difference in CS on NPF event and non-event days at the two stations. On NPF event days, the median CS was $\sim 0.01 \text{ s}^{-1}$ during the first 2 hours of the NPF events, at both stations. 310 On common NPF event days, the median CS was 0.009 s⁻¹ at UB station and ~0.01s⁻¹ at MT station, 311 312 respectively. In comparison, on non-event days, during roughly the same time period (9:00-11:00 313 LT), the CS was substantially higher, with median values of 0.02 s⁻¹ and 0.014 s⁻¹, at UB and MT 314 stations, respectively. The median CS on NPF event days during our measurement period was lower than those previously reported values based on long-term data which was 0.027 s⁻¹ and 0.019 s⁻¹ at 315 316 two sites in urban Beijing respectively (Wang et al., 2013; Deng et al., 2020a). This could be attributed 317 to a shorter studied period in our study as well as changes in meteorological conditions in comparison 318 to previous years. As shown in Fig. 9a&b, when CS was smaller than 0.015 s⁻¹, most (10 out of 11) days were classified 319 320 as NPF event days. When CS was larger than 0.032 s⁻¹, all days were classified as non-event days. When CS was between 0.015 and 0.032 s⁻¹, there were only 2 NPF event days, 4 undefined days and 321 4 non-event days. Different from NPF events under low CS (<0.015 s⁻¹), these two NPF events under 322 323 high CS were characterized by a relatively high H₂SO₄ concentration (>10⁷ cm⁻³) and low particle 324 formation rates, discussed in further details in the coming sections. This result is consistent with long-325 term observations at UB station during 2018 (Jan 16-May 17 and Oct19-Dec 26) and 2019 (Jan 1-





327 2016 (Mar 7-Apr 6) during which NPF event days dominated the measured days when CS was smaller than 0.01 s^{-1} , and NPF events rarely happened when CS was larger than $\sim 0.03 \text{ s}^{-1}$ (Deng et 328 al., 2020a). In comparison, at MT station, when CS was smaller than ~ 0.013 s⁻¹, most (10 out of 14) 329 330 days were classified as NPF event days as shown in Fig. 9d. When CS was larger than ~0.013 s⁻¹, we 331 only observed one local NPF event and another two non-local NPF events (the non-local NPF events 332 will be discussed in section 3.3.2). The local NPF event under high CS at the MT station was 333 characterized as high UV (>30 W/m²) and low formation rate (J₇ were too small to be reliably 334 calculated) as well. 335 3.2.3 Role of meteorological variables in NPF event occurrence 336 While the air mass source regions, and their connection to the CS, seem to explain the general picture 337 of NPF event occurrences at the two sites well, we still have some cases unexplained. For example, 338 as shown in Table 1, there were several non-event days observed at MT station with air masses 339 belonging to North and West groups, which were connected to low CS. This indicates that a further 340 investigation into other NPF-related variables is still required. 341 First, the intensity of solar radiation is considered one of the most important parameters deciding NPF 342 event occurrence as it translates into photochemistry strength (Chu et al., 2019). The median UV 343 (UVA+UVB) intensity at the UB station on NPF event and non-event days was 38.3 and 32.9 W/m², 344 respectively. The UV intensity was on average ~15% higher on NPF event days than on non-event 345 days at UB station. Although UV intensity was important for NPF event occurrence, we still observed 346 NPF events at UB station under low UV intensity, e.g. cases on June 30 and July 6 as shown in Fig. 347 9a. These two events all started immediately after sunrise (6:30 LT on June 30 and 7:00 LT on July 348 6, see Table 1) and median UV intensity during the first two hours of NPF events was only 13.2 and 14.1 W/m² (Fig. 9b), respectively. However, sulfuric acid concentration was higher than 10⁷ cm⁻³ at 349 350 the same time, the possible reason is high SO₂ concentration and low CS (~0.003 s⁻¹, see Fig. 9a) 351 outcompeted the low UV intensity (Dada et al., 2020a) as well as the possibility of having other 352 H₂SO₄ sources (Yao et al., 2020).

Mar 28 and Jul 19–Dec 31) (Deng et al., 2020a). As well as at another site in urban Beijing during





353 At MT station, the median UV intensity on NPF event and non-event days was 28.4 and 14.2 W/m², 354 respectively. The lower UV at MT station, in general might be related to the higher RH (Fig. 10c&d) 355 and thus more cloudiness and fog at the MT station (Hamed et al., 2010;Dada et al., 2018). The UV 356 intensity was on average ~100% higher on NPF event days than on non-event days at UB station. All 357 local NPF events happened when UV intensity was higher than 15 W/m² as shown in Fig. 9c. 358 On the other hand, as shown in Fig. 10c&d, the median relative humidity (RH) was lower on NPF 359 event days than non-event days at both stations. This is consistent with earlier results that high RH 360 suppressed NPF events by increasing CS and coagulation sink (CoagS), as it can enhance the particle 361 hygroscopic growth (Hamed et al., 2010; Hamed et al., 2011). In addition, high RH was also found to 362 be associated with more clouds resulting in less solar radiation (Dada et al., 2018). 363 The median temperatures at UB on event and non-event days were 31 °C and 29 °C, respectively, and 364 at MT station 23 °C and 19 °C, respectively. The median temperature was lower at the MT station 365 than at the UB station, due to the higher altitude of the station and likely also the weaker solar 366 radiation (Fig. 10a&b). At both stations, the median temperature was very similar on NPF events and 367 non-event days, suggesting that temperature was not a crucial factor for NPF event occurrence during 368 the measurement. 369 3.2.4 Role of Sulfuric acid concentrations in NPF event occurrence 370 Besides having favorable conditions such as low CS and sufficient radiation, the occurrence of NPF 371 event requires a sufficient concentration of precursor vapors. Sulfuric acid has been found to be the 372 main precursor vapour participating in NPF in China and in many locations around the world due to 373 its low volatility (Chu et al., 2019; Yao et al., 2018). In Fig. 9a&b, we show the concentration of 374 sulphuric acid as a function of CS. As shown in Fig. 9c, the median sulfuric acid (H2SO4) concentrations at UB station were 8.1×10^6 cm⁻³ and 4.5×10^6 cm⁻³ on NPF event days and non-event 375 376 days, respectively. This suggests that H₂SO₄ was important for NPF events at the UB station (Deng 377 et al., 2020a; Dada et al., 2020a). On the other hand, as shown in Fig. 9c, the H₂SO₄ concentration 378 during 9:00-11:00 (local time) on non-event days could be comparable with that on NPF event days, 379 especially when CS was high. Altogether, our observation shows that the occurrence of NPF events





380 was controlled by both H₂SO₄ and CS at the UB station (Cai et al., 2020). 381 In addition, although we did not perform the measurement of H₂SO₄ at the MT station, the 382 concentration of H₂SO₄ is expected to be much lower than that at the UB station. First, the SO₂ 383 concentration at measurement at Longquan Town was always below the detection limit (~ 0.5 ppb) 384 during our observation period. In comparison, median SO₂ concentration at UB station was 0.87 ppb 385 for all days and 0.65 ppb for NPF event days during our observation period. The spatial decreasing 386 trend of SO₂ concentration from urban Beijing to the west implies a low SO₂ concentration at the MT 387 station, especially when the nearby anthropogenic sources are sparse (Liu et al., 2008; Yang-Chun et 388 al., 2013; Wang et al., 2011; Ying et al., 2010). Second, the oxidation of SO₂ by photochemistry 389 reactions could also be limited by the low solar radiation at the MT station as we discussed above. 390 Third, CS, as the main sink of H₂SO₄, was comparable at the MT station to that in the UB station. 391 Altogether, the lower production rate and the equivalent loss rate of H2SO4 at the MT station likely 392 results in the lower H₂SO₄ concentration, in comparison to UB station. 393 Due to the lack of H₂SO₄ measurement, the NPF mechanism at the MT station cannot be inferred. 394 Nevertheless, we show that the occurrence of NPF as a response to photochemistry (and very likely 395 to H₂SO₄) and CS in Fig. 9d. It is clear that high UV intensity and low CS favored the occurrence of 396 NPF. However, there existed exceptions. For example, it was an undefined day on June 28 despite of 397 the high UV intensity and low CS. Besides, two NPF events were observed even when the UV 398 intensity was low and the CS was high. These exceptional cases will be discussed in detail in Section 399 3.3.2. 400 Case studies 3.3

- 401 3.3.1 Non-local NPF events at the MT station
- As we discussed above, NPF events at MT stations were favored by strong photochemistry (sufficient solar radiation) and low CS. However, we also observed two NPF events under low solar radiation and high CS on June 15 and 25. These two NPF events had similar characteristics, and we explain the case on June 15 in detail. During this case, air masses arrived at both stations from south-east around 9:00 LT as shown in Fig. 10b&d, resulting in high CS especially at MT station (Fig. 10a&c).





407 The NPF event at the UB station was observed around 11:00 LT, with a high median J₇ of 5.56 cm⁻¹ 408 ³s⁻¹, whereas no indication of NPF event at MT was observed until 15:00 likely due to the high CS. 409 After 15:00 LT, a new growing mode from 15 nm appeared at MT station. Because there was no 410 intense increase of sub-15 nm particle number concentration throughout the whole event, the NPF 411 event at MT station was not local but occurred somewhere else and transported to MT station. This a 412 common phenomenon, particularly when the conditions do not favor NPF events to occur on site, but 413 are NPF-favorable somewhere else. The particles formed off-site are transported vertically or 414 horizontally and observed on site (Dada et al., 2018; Leino et al., 2019). Different from other NPF 415 events, this non-local NPF event was associated with strong southerly wind (Fig. 11e), the NPF event 416 observed at the MT station might originate from urban areas 60 km south to the station as shown in 417 Fig.1, assuming the NPF event started around 9:00 and the mean wind speed was 3 m/s.

418 3.3.2 Undefined day under low CS and high UV condition at MT station

419 Interestingly, we also observed an undefined day at MT station with low CS (0.006 s⁻¹) and high UV 420 (28 W/m²) on June 28 (Fig. 9c & Fig. 12c) as there seems to be a very weak 'banana' around 13:00 421 in the particle number size distribution plot. All other days with such conditions were classified as 422 NPF event days. In this case, the reasonable explanation would be low precursor vapours which we 423 think are SO₂ in our case. On this day, an air mass from Inner-Mongolia arrived at both stations, 424 resulting in very low SO₂ concentration at the UB station among all NPF event days during our 425 observation as shown in Fig. 3. It is reasonable to assume that the SO₂ concentration was even lower 426 at the MT station than at the UB station, and low H₂SO₄ concentration could also be expected, which 427 could be insufficient to trigger an NPF event. This is consistent with an earlier long-term observation 428 at Shangdianzi, another background site of Beijing, where the NPF events were suppressed by air 429 masses from Inner-Mongolia due to the low precursor concentrations (Shen et al., 2018). In comparison, we observed a very weak NPF event at UB station at the same day, as local emissions 430 431 were enough to supply enough vapors to initiate NPF event.



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4 Conclusion

437 formation rate, growth rate as well as NPF event start time and ending diameters of newly-formed 438 growing particles at both stations. We found that NPF events are most of the time a regional 439 phenomenon occurring over the studied areas and connected closely with air masses source regions 440 during our observation. The air masses from north favored common NPF events more than any other 441 mass trajectories due to their associated clean air masses and thus low CS. Additionally, air masses 442 from the north group always resulted in an NPF event at UB station, while other factors still 443 suppressed their occurrence at the MT station. For example, we found that sufficiently high solar 444 radiation, e.g. UV (UVA+UVB) intensity larger than 15 W/m² is required for an NPF event to occur at MT and NPF events observed under solar radiation conditions smaller than 15 W/m² were rather 445 446 transported NPF events from areas upwind to MT station. Another factor suppressing the occurrence 447 of NPF events at MT is the too low precursor gas concentrations (e.g. SO₂) which was visible in MT 448 rather than at UB. Moreover, we found that the CS limit for NPF event occurrence at UB station was ~0.032 s⁻¹, which is consistent with earlier observations in urban Beijing. In comparison, at MT 449 450 station the CS limit could be only ~0.013 s⁻¹, above which local-NPF events could possibly be 451 suppressed associated with the lower SO₂ concentration. 452 Although NPF events could happen simultaneously at both stations, the NPF event strength (particle 453 formation rates) was significantly higher at UB than MT station, possibly due to spatial 454 inhomogeneity in the sources of aerosol precursor compounds as well as solar radiation. In 455 comparison, the particle growth rates in size range of 7-15 nm were comparable between these two 456 sites. This clearly suggested that particle formation and further growth are mediated by different 457 vapors. The ending diameters of newly-formed grown particles were higher at UB than MT station, 458 most likely due to the more abundant precursors at the urban area. Our results suggest that NPF events 459 at urban areas could have a bigger influence on global/regional climate and air pollution than those 18

We conducted observations of NPF events at an urban site (UB) and a background mountain site

(MT) in Beijing and fully analyzed the favorable conditions for NPF event occurrences at each of the

sites. In order to identify the similarities and differences between NPF events at both stations in terms

of frequency, intensity and mechanisms, we compared certain NPF characteristics including particle

at clean areas.



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461 NPF events in urban areas were likely transported to regional background sites during our 462 observation. Yet, it remains unknown whether NPF event at regional background sites can affect NPF 463 event in urban areas despite the fact that NPF event and particle growth which are driven by regional 464 air masses can also interact with urban climate (Salma et al., 2016, Du et al. 2021). To fill the 465 knowledge gap, long-term observations on NPF events upwind and downwind urban Beijing are 466 important. Such observations can shed light into the regionality of NPF events and the dynamical 467 development of the aerosol population influenced by radical chemistry in the plume of a megacity. 468 The importance of NPF events as a potential crucial contributor to haze and air pollution in general 469 (Kulmala 2015, Kulmala et al., 2021) need be investigated in not only long-term but also more sites 470 with comprehensive observations (Kulmala, 2018) for better implementations in global models and 471 policy making strategies. 472 473 **Conflict of interest:** The authors declare no competing interests. 474 Author contributions: YZ, CY, YG, XY performed the measurements. YZ, SH, CY, YG, LD, XY 475 analyzed the data. YZ, CY, SH, LD wrote the manuscript. All authors reviewed the paper and 476 contributed to the scientific discussions. 477 Data availability: The data displayed in this manuscript will be available online at zenodo.com once 478 the manuscript is in its final publication format. 479 Financial support: This publication has been produced within the framework of the EMME-CARE 480 project, which has received funding from the European Union's Horizon 2020 Research and 481 Innovation Programme (under grant agreement no. 856612) and the Government of Cyprus. This 482 research has also received funding from the European Commission grant agreement no. 742206 483 ("ERC-ATM-GTP") as well as Academy of Finland Projects 316114 & 311932. Simo Hakala 484 acknowledges the doctoral programme in Atmospheric Sciences (ATM-DP, University of Helsinki) 485 for financial support. The sole responsibility of this publication lies with the author. The European 486 Union is not responsible for any use that may be made of the information contained therein.





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734 7 Tables and Figures

Table 1: NPF event and non- event days during our observation at both stations.

Date	Туре	Air masses (9:00-15:00)		GR _{7-15nm} (nm/h)		J ₇ (cm ⁻³ s ⁻¹)		Event Start (LT)		Ending diameter (nm)	
2019/06/14	a	North	North	8.61	-	4.97	-	9:00	8:00	71	-
2019/06/15*	a	Local	Local	12.63	-	5.56	-	11:00	15:00	82	60
2019/06/17	d	East	Local								
2019/06/18	c	Local	West		10.5		0.17		12:00		45
2019/06/19	d	South	Local								
2019/06/21	d	East	Local								
2019/06/23	e	East	East								
2019/06/24	f	Local	Local		8.21		-		12:00		50
2019/06/25*	a	Local	Local	-	-	-	-	12:00	15:00	-	53
2019/06/28	g	West	West	-		-		11:00			
2019/06/29	a	North	North	12.93	7.14	6.93	2.28	9:00	8:00	21	19
2019/06/30	a	North	North	4.82	6.57	9.86	1.37	6:30	9:30	31	25
2019/07/01	a	North	North	7.31	5.82	3.84	0.82	9:00	8:30	105	102
2019/07/02	d	Local	West								
2019/07/03	a	North	North	7.89	6.52	3.25	0.75	9:00	8:00	72	46
2019/07/04	b	Local	Local	-		-		10:00			53
2019/07/06	a	North	North	7.39	6.51	9.21	1.75	7:00	9:30	25	19
2019/07/07	b	North	North	7.61		3.61		9:00		32	
2019/07/08	d	East	East								
2019/07/09	d	East	East								
2019/07/10	h	East	East								
2019/07/11	d	East	East								
2019/07/12	f	East	East		5.57		0.37		9:30		24
2019/07/13	c	Local	North		6.32		0.70		10:00		30
2019/07/14	a	North	North	12.04	9.86	3.91	0.89	11:30	9:30	63	47

'a' means NPF event observed at both stations, 'b' means NPF event day at UB station while non-event day at MT station, 'c' means NPF event day at MT station while non-event day at UB station, 'd' means non-event day at both stations on the same day, 'e' means undefined day at both stations, 'f' means undefined day at UB station while NPF event day at MT station, g means undefined day at MT station while NPF event day at UB station, h means undefined day at UB station while non-event day at MT station, * means NPF event observed at MT station was transported from somewhere else. – means the values cannot be reliably calculated. Only days when particle number size distribution were valid are included in this table.





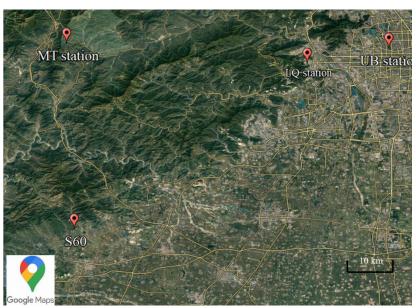
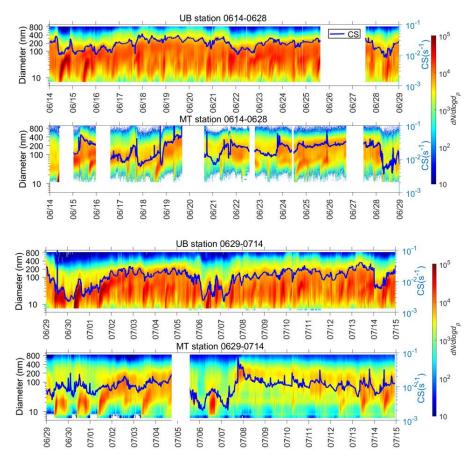


Figure 1: Map showing locations of urban station (UB), Longquan station (LQ), mountain station (MT) and another site 60 km south from MT station (S60). Figure produced using © Google Maps (https://maps.google.com).





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Figure 2: Time series of particle number size distribution and CS (the blue line) at UB and MT stations during our observations. Time resolutions for particle number size distribution data and CS were 8 min at UB station and 4 min at MT station, respectively.



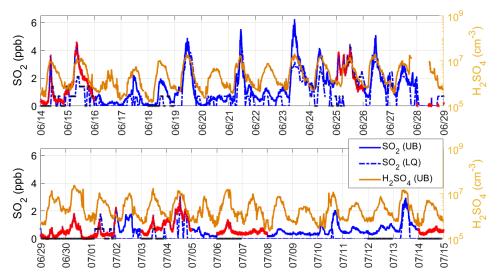
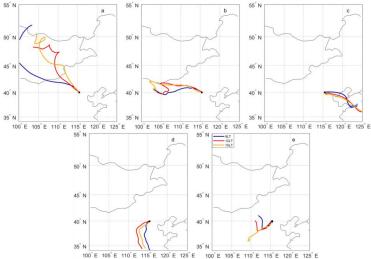


Figure 3: Time series of SO₂ concentration (ppb) at UB station and Longquan station (LQ) during our observation (left axis) as well as H₂SO₄ concentration measured at UB station (right axis). Data under detection limit are set as zero at both stations. Data on NPF event days were marked in red at UB station and black at MT station. Time resolution for SO₂ data was 5 min at UB station and 1h at LQ station, respectively.

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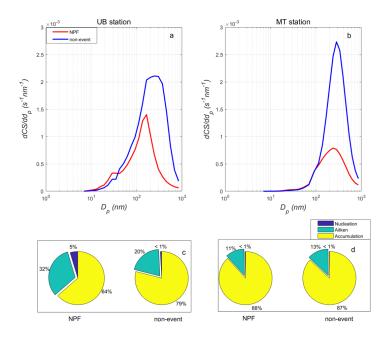
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764 765 Figure 4: Examples of air masses arrived at both stations from (a) North group, (b) West group, (c) 766 East group, (d) South group and (d) Local group during 9:00-15:00 (local time, LT). Both stations 767 are under the same marker.





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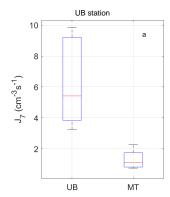
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Figure 5: Median CS size distribution at UB (a) and MT (b) stations on NPF event and non-event days, respectively during 9:00-15:00 (local time, LT) and median contribution of nucleation, Aitken and accumulation mode particles to total CS at UB (c) and MT (d) stations on NPF event and non-event days, respectively during 9:00-15:00 (local time, LT). The time resolutions for CS and particle number concentration data were 8 min at UB station and 4 min at MT station, respectively.







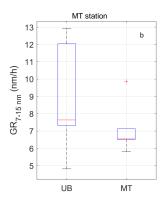
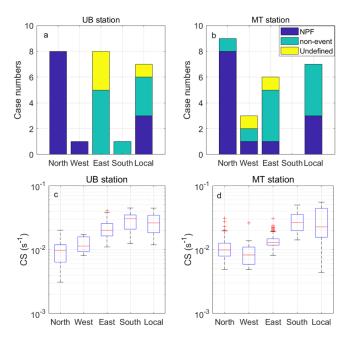


Figure 6: Median and percentiles of particle formation rates of 7 nm (J_7 , cm⁻³s⁻¹) and growth rates (GR, nm/h) of 7-15 nm for common NPF events. The time resolution for particle formation rates was 8 min at UB station and 4 min at MT station, respectively. We only took J_7 during the first 2 hours of every NPF event at each station on every NPF event day. The red line represents the median of the data and the lower and upper edges of the box represent 25th and 75th percentiles of the data, respectively. The length of the whiskers represents $1.5 \times$ interquartile range which includes 99.3% of the data.





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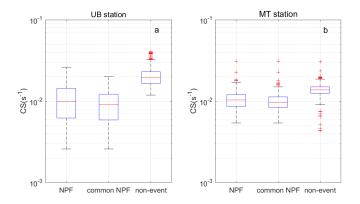
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Figure 7: Occurrence of NPF events and non-events under air masses arriving from different directions at (a) UB and (b) MT stations. Medians and percentiles of condensation sink (CS, s⁻¹) under different air masses at (c) UB and (d) MT stations during the first 2h of NPF time window (9:00-11:00, local time). The red line represents the median of the data and the lower and upper edges of the box represent 25th and 75th percentiles of the data, respectively. The length of the whiskers represents 1.5× interquartile range which includes 99.3% of the data. Data outside the whiskers are considered outliers and are marked with red crosses. The time resolution of CS was 8 min at UB station and 4 min at MT station, respectively.





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Figure 8: Medians and percentiles of condensation sink (CS, s^{-1}) at (a) UB and (b) MT stations during the first 2 hours of every NPF event and 9:00-11:00 (local time) on non-event days. The time resolution of CS was 8 min at UB station and 4 min at MT station, respectively. The red line represents the median of the data and the lower and upper edges of the box represent 25^{th} and 75^{th} percentiles of the data, respectively. The length of the whiskers represents $1.5 \times toldsymbol{matrix}$ interquartile range which includes 99.3% of the data. Data outside the whiskers are considered outliers and are marked with red crosses.



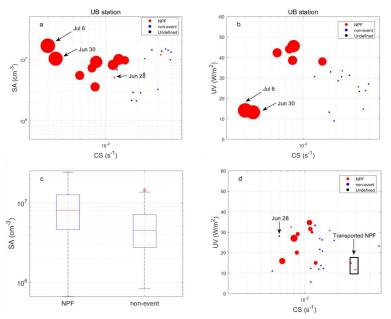


Figure 9: (a) Median condensation sinks (CS, s⁻¹) and H₂SO₄ concentration (SA, cm⁻³) and (b) solar radiation (UVA+UVB, W/m²) during the first 2 hours of every NPF event and 9:00-11:00 on every non-event day at UB station. (c) medians and percentiles of H₂SO₄ concentration observed at UB station during the first 2 hours of NPF events and 9:00-11:00 on non-event days. (d) Median condensation sinks (CS, s⁻¹) and solar radiation (UVA+UVB, W/m²) during the first 2 hours of every NPF event and 9:00-11:00 on every non-event day at MT station. Transported NPF event cases and one non-event day with air masses belonging to west group (Jun 28) were all pointed out in the figure. Size of data points on NPF event days means particle formation rate (*J*₇, cm⁻³s⁻¹) when it can be calculated reliably. The time resolution of CS was 8 min at UB station and 4 min at MT station, respectively. The time resolution was 30 min for SA data at UB station and 1h for solar radiation data at both stations.





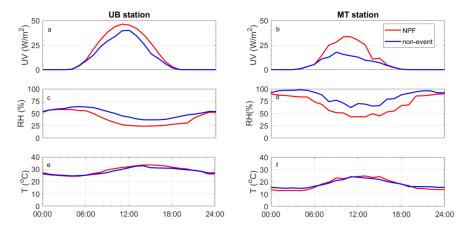
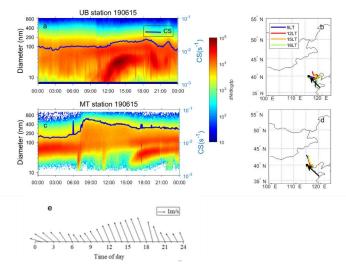


Figure 10: (a, b) Diurnal pattern of solar radiation (UV, W/m²), (c, d) Temperature (T, °C), and (e, f) Relative humidity (RH, %), at UB (left panel) and MT (right panel) stations on both NPF event and non-event days. Time resolutions for all data points here were 1h.

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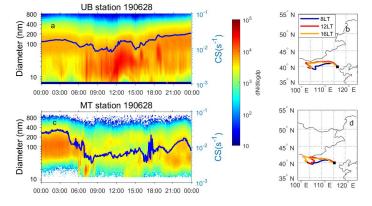
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Figure 11: Time series of particle number size distribution, CS (blue lines) and air masses arrived at UB (upper panel) and MT (bottom panel) stations as well as wind conditions at MT station on June 15, 2019. Time resolution for particle number size distribution data and CS were both 8 min at UB station and 4 min at MT station, respectively. Time resolution for wind condition data was 1h at MT station. The arrows in the figure denotes directions of prevailing air masses before arriving at both stations during 9:00-15:00 LT.

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Figure 12: Time series of particle number size distribution, CS and air masses arrived at UB (upper panel) and MT (bottom panel) stations on June 28, 2019. Time resolution for particle number size distribution data and CS were both 8 min at UB station and 4 min at MT station, respectively.